

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Allan Svendsen

Confirmation No: To be assigned

Serial No.: To be assigned

Group Art Unit: To be assigned

Filed: November 16, 2001

Examiner: To be assigned

For: Pullulanase Variants and Methods for Preparing Such Variants With Predetermined Properties

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, DC 20231

Sir:

Before examination, please amend the above-identified application as follows (a marked up version pursuant to 37 C.F.R. 1.21 is attached hereto):

IN THE CLAIMS:

Please cancel claims 11-14, 16-19, and 23-57 without prejudice or disclaimer.

Please substitute the following amended claims for the pending claims having the same claim numbers:

1. (Amended) A method for producing a variant of a parent pullulanase, the variant having at least one altered property as compared to the parent pullulanase, the method comprising:

a) modeling the parent pullulanase on the three-dimensional structure of SEQ ID NO:1 to produce a three-dimensional structure of the parent pullulanase;

b) identifying in the three-dimensional structure obtained in step (a) at least one structural part of the parent pullulanase, wherein an alteration in said structural part is predicted to result in an altered property;

c) modifying the nucleic acid sequence encoding the parent pullulanase to produce a nucleic acid sequence encoding a deletion, insertion, or substitution of one or more amino acids at a position corresponding to said structural part; and

d) expressing the modified nucleic acid sequence in a host cell to produce the variant pullulanase.

2. (Amended) The method of claim 1, wherein the altered property is pH dependent activity, thermostability, substrate cleavage pattern, specific activity of cleavage, substrate specificity or substrate binding.

3. (Amended) The method of claim 2, wherein the altered property is a higher isoamylase activity as defined by an increase of at least 5% in the number of reducing ends formed in an "assay for isoamylase-like activity" using 50 mM sodium acetate, a pH of 4.5, 5.0 or 5.5, a temperature of 60°C and when incubated with a 10% w/v rabbit liver glycogen solution for a period of 10 min.

4. (Amended) The method of claim 1, wherein the altered property is an improved thermostability as defined by differential scanning calorimetry (DSC).

5. (Amended) The method of claim 1, wherein the altered property is an improved thermostability as defined by an increased half-life ($T_{1/2}$) of at least about 5% in a " $T_{1/2}$ assay for liquefaction", using a pH of 5.0 and a temperature of 95°C.

6. (Amended) The method of claim 1, wherein the altered property is an improved thermostability as defined by an increased residual enzyme activity of at least about 5% in an "assay for residual activity after liquefaction", using a pH of 5.0 and a temperature of 95°C.

7. (Amended) The method of claim 1, wherein the altered property is an improved thermostability as defined by an increased half-life ($T_{1/2}$) of at least about 5% in a " $T_{1/2}$ assay for saccharification", using a pH of 4.5 and a temperature of 70°C.

8. (Amended) The method of claim 1, wherein the altered property is an improved thermostability as defined by an increased residual enzyme activity of at least about 5% in an "assay for residual activity after saccharification", using a pH of 4.5 and a temperature of 63°C.

9. (Amended) The method according to claim 8, wherein the "assay for activity for saccharification", is carried out at a pH of 4.5 and at a temperature of 70°C.

10. (Amended) A method for constructing a variant of a parent pullulanase, the method comprising:

- a) identifying an internal or external cavity or crevice in a three-dimensional structure of the parent pullulanase;
- b) substituting at least one amino acid residue in the neighborhood of the cavity or crevice with another amino acid residue which increases the hydrophobic interaction and/or fills out or reduces the size of the cavity or crevice;
- c) optionally repeating steps a) and b) recursively;
- d) optionally, making alterations each of which is an insertion, a deletion or a substitution of an amino acid residue at one or more positions other than b);
- e) preparing the variant resulting from steps a) - d);
- f) testing the thermostability of said variant; and
- g) optionally repeating steps a) - f) recursively; and
- h) selecting a variant having increased thermostability as compared to the parent pullulanase.

15. (Amended) A method according to claim 10, wherein the increased thermostability is as defined in claim 4.

20. (Amended) A method according to claim 1, wherein the parent pullulanase has more than 40% homology with the amino acid sequence shown in SEQ ID NO: 1, SEQ ID NO: 3 or SEQ ID NO: 5.

21. (Reiterated) A method according to claim 20, wherein the parent pullulanase has the amino acid sequences shown in SEQ ID NO: 1, SEQ ID NO: 3 or SEQ ID NO: 5.

22. (Amended) A method for producing a pullulanase variant, the method comprising:

- a) constructing the variant by the method according to claim 10;
- b) transforming a microorganism with a DNA sequence encoding the variant;
- c) cultivating the transformed microorganism under conditions which are conducive for producing the variant; and
- d) optionally, recovering the variant from the resulting culture broth.

REMARKS

This Application is a division of US Application 09/514,599 filed February 28, 2000. In this amendment, claims 11-14, 16-19, and 23- 57 are cancelled and claims 1-10, 15, and 20-22 are presented. There is no new matter added, and entry of the amendment is respectfully requested.

Applicants enclose herewith the Sequence Listing for the above-captioned application. The computer-readable form in this application is identical with that filed in Application Serial No. 09/514,599. In accordance with 37 CFR 1.821(e), please use the last filed computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. The content of the attached paper entitled "SEQUENCE LISTING" and of the computer readable form filed in the parent application is the same. No new matter is added.

The Examiner is hereby invited to contact the undersigned by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

Sir:

Below is a marked-up version of the amendments made in the accompanying amendment.

IN THE CLAIMS:

Claims 1-57 have been amended as follows:

1. (Amended) A method for producing a variant of a parent pullulanase, the variant having at least one altered property as compared to the parent pullulanase, the method comprising:
 - a) modeling the parent pullulanase on the three-dimensional structure of SEQ ID NO:1 [depicted in the Appendix] to produce a three-dimensional structure of the parent pullulanase;
 - b) identifying in the three-dimensional structure obtained in step (a) at least one structural part of the parent pullulanase, wherein an alteration in said structural part is predicted to result in an altered property;
 - c) modifying the nucleic acid sequence encoding the parent pullulanase to produce a nucleic acid sequence encoding a deletion, insertion, or substitution of one or more amino acids at a position corresponding to said structural part; and
 - d) expressing the modified nucleic acid sequence in a host cell to produce the variant pullulanase.
2. (Amended) The method [according to] of claim 1, wherein the altered property is pH dependent activity, thermostability, substrate cleavage pattern, specific activity of cleavage, substrate specificity[, such as higher isoamylase activity and/or] or substrate binding.

3. (Amended) The method [according to] of claim 2, wherein the altered property is a higher isoamylase activity as defined by an increase of at least 5% in the number of reducing ends formed in [the] an "assay for isoamylase-like activity" [described herein,] using 50 mM sodium acetate, a pH of 4.5, 5.0 or 5.5, a temperature of 60°C and when incubated with a 10% w/v rabbit liver glycogen solution for a period of 10 min.

4. (Amended) The method [according to claims 1 or 2] of claim 1, wherein the altered property is an improved thermostability as defined by differential scanning calorimetry (DSC) [using the method described herein].

5. (Amended) The method [according to claims 1 or 2] of claim 1, wherein the altered property is an improved thermostability as defined by an increased half-life ($T_{1/2}$) of at least about 5%[, preferably, at least about 10%, more preferably at least about 15%, more preferably at least about 25%, most preferably at least about 50%, such as at least about 100%, in the] in a " $T_{1/2}$ assay for liquefaction" [described herein], using a pH of 5.0 and a temperature of 95°C.

6. (Amended) The method [according to claims 1 or 2] of claim 1, wherein the altered property is an improved thermostability as defined by an increased residual enzyme activity of at least about 5%[, preferably, at least about 10%, more preferably at least about 15%, more preferably at least about 25%, most preferably at least about 50%, such as at least about 100%, in the] in an "assay for residual activity after liquefaction" [described herein], using a pH of 5.0 and a temperature of 95°C.

7. (Amended) The method [according to claims 1 or 2] of claim 1, wherein the altered property is an improved thermostability as defined by an increased half-life ($T_{1/2}$) of at least about 5%[, preferably, at least about 10%, more preferably at least about 15%, more preferably at least about 25%, most preferably at least about 50%, such as at least about 100%, in the] in a " $T_{1/2}$ assay for saccharification" [described herein], using a pH of 4.5 and a temperature of 70°C.

8. (Amended) The method [according to claims 1 or 2] of claim 1, wherein the altered property is an improved thermostability as defined by an increased residual enzyme activity of at least about 5%[, preferably, at least about 10%, more preferably at least about 15%, more preferably at least about 25%, most preferably at least about 50%, such as at least about 100%, in the] in

an "assay for residual activity after saccharification" [described herein], using a pH of 4.5 and a temperature of 63°C.

9. (Amended) The method according to claim 8, wherein the "assay for activity for saccharification" [described herein], is carried out at a pH of 4.5 and at a temperature of 70°C.

10. (Amended) A method for constructing a variant of a parent pullulanase, the method comprising:

- a) identifying an internal or external cavity or crevice in [the] a three-dimensional structure of the parent pullulanase;
- b) substituting at least one amino acid residue in the neighborhood of the cavity or crevice with another amino acid residue which increases the hydrophobic interaction and/or fills out or reduces the size of the cavity or crevice;
- c) optionally repeating steps a) and b) recursively;
- d) optionally, making alterations each of which is an insertion, a deletion or a substitution of an amino acid residue at one or more positions other than b);
- e) preparing the variant resulting from steps a) - d);
- f) testing the thermostability of said variant; and
- g) optionally repeating steps a) - f) recursively; and
- h) selecting a variant having increased thermostability as compared to the parent pullulanase.

15. (Amended) A method according to [any of claims 10-14] claim 10, wherein the increased thermostability is as defined in [any of claims 4-9] claim 4.

20. (Amended) A method according to [any of the preceding claims] claim 1, wherein the parent pullulanase has more than 40% homology with the amino acid sequence shown in SEQ ID NO: 1, SEQ ID NO: 3 or SEQ ID NO: 5[, preferably more than 50%, such as more than 60%, more than 70%, more than 75%, more than 80%, more than 85%, more than 90%, more than 91%, more than 92%, more than 93%, more than 94%, more than 95%, more than 96%, more than 97%, more than 98%, more than 99% homology with the amino acid sequence shown in SEQ ID NO: 1, SEQ ID NO: 3 or SEQ ID NO: 5].

